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 APR 21 2004  
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 PATENT & TRADEMARK OFFICE

In re Application of

Ronald C. Newbold, Jr.

## PATENT

Serial No.: 10/743,543

Art Unit: 2831

Filed: December 23, 2003:

Examiner:

For: RECESSED ELECTRICAL FIXTURE  
ASSEMBLY WITH INSULATION  
BARRIER AND METHOD OF USING  
THE SAME


Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, Applicant requests that the patents and publications, listed on the attached PTO/SB/08A, be made of record in the above-identified application. Copies of the foreign patents and of the publications only are enclosed.

Respectfully submitted,

Dated: Apr. 21, 2004

  
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APR 21 2004  
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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

## Complete if Known

Application Number	10/743,543
Filing Date	December 23, 2003
First Named Inventor	Ronald C. Newbold, Jr.
Art Unit	2831
Examiner Name	
Attorney Docket Number	44609

Sheet 1 of 3

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 3,104,833	09-24-1963	C. J. Stuart et al.	
		US- 4,093,818	06-06-1978	Thwaites et al.	
		US- 4,210,070	07-01-1980	Tatum et al.	
		US- 4,237,671	12-09-1980	Munson	
		US- 4,276,332	06-30-1981	Castle	
		US- 4,375,142	03-01-1983	McDonald	
		US- 4,400,673	08-23-1983	Gilman	
		US- 4,400,766	08-23-1983	Munson	
		US- 4,574,454	03-11-1986	Dyson	
		US- 4,751,624	06-14-1988	Russo et al.	
		US- 4,930,054	05-29-1990	Krebs	
		US- 5,034,859	07-23-1991	Gawad et al.	
		US- 5,222,800	06-29-1993	Chan et al.	
		US- 5,351,448	10-04-1994	Gohlke et al.	
		US- 5,404,687	04-11-1995	Blake et al.	
		US- 5,373,431	12-13-1994	Hayman et al.	
		US- 5,457,617	10-10-1995	Chan et al.	
		US- 5,470,471	11-28-1995	Luthi et al.	
		US- 5,546,711	08-20-1996	Heller	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	✓	GB2234710A	03-13-1991	Ward Derek Alfred		
	✓	GB2270936A	03-30-1994	Hamilton Arthur Peter		
	✓	GB 2 321 515 A	07-29-1998	Mark Adam Hawkins et al.		

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE  
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Filing Date	December 23, 2003
First Named Inventor	Ronald C. Newbold, Jr.
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Attorney Docket Number	44609

Sheet 2 of 3

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		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,548,499	08-20-1996	Zadeh	
		US- 5,562,343	10-08-1996	Chan et al.	
		US- 5,567,041	10-22-1996	Slocum	
		US- 5,588,737	12-31-1996	Kusmer	
		US- 5,823,664	10-20-1998	Demshki, Jr. et al.	
		US- 6,079,856	06-27-2000	Prestier	
		US- 6,082,878	07-04-2000	Doubek et al.	
		US- 6,105,334	08-22-2000	Monson et al.	
		US- 6,123,435	09-26-2000	Wang	
		US- 6,123,438	09-26-2000	Hentz	
		US- 6,176,599 B1	01-23-2001	Farzen	
		US- 6,286,980 B1	09-11-2001	Meyer	
		US- 6,357,891 B1	03-19-2002	Newbold et al.	
		US- 2002/0157324 A	10-31-2002	Newbold et al.	
		US- 6,474,846 B1	11-05-2002	Kelmelis et al.	
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>8</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
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Sheet 3 of 3

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		PROGRESS LIGHTING; firebox® The Recessed Downlighting Solution for Fire Rated Ceilings; Recessed Lighting, April 2003, page 36.	
		PROGRESS LIGHTING; P8585-01 Goof Ring, O.D. 8-7/8", for use with any recessed trim with a 7-3/4" O.D., Recessed Lighting, April 2003, page 60.	
		PROGRESS LIGHTING; P8012-Kit, retrofit kit for P8012 decorative recessed trims to fit into Juno and Halo housings, Recessed Lighting, April 2003, page 60.	

Examiner Signature		Date Considered	
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